



# **A RAFT based application store on ArangoDB's Agency**

# Who?

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- ▶ Myself
  - ▶ C++ autodidact > 20 yrs
  - ▶ Theoretical physics / compiler building PhD, MRI physics research
- ▶ ArangoDB
  - ▶ NoSQL multi-model database (documents, kv, graphs, ...)
    - ▶ Document: joins, transactions, schemaless, JSON, secondary indexes, compact storage
    - ▶ Graph: pattern matching, shortest path, distributed, nested properties, traversals, transactions
  - ▶ HA, Clustering, DC2DC
    - ▶ Multi master, horizontal scaling, resilient and self healing, query optimiser, sync replication
  - ▶ AQL covers all
  - ▶ Foxx microservice server
  - ▶ Cloud infrastructure support for k8s / dcos


# Introduction

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- ▶ Distributed systems have fundamental problems
  - ▶ Configure **once**
  - ▶ Consensus - **Ground truth** shared by all
  
- ▶ Solutions
  - ▶ PAXOS too complicated - hard to get right
  - ▶ RAFT [github.io/RAFT](https://github.com/etcd-io/raft)

# Introduction

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  - ▶ RAFT [github.io/RAFT](https://github.com/coreos/raft)
  - ▶ Actually, ...  ... we tried to do one of our own.

# Introduction

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  - ▶ PAXOS too complicated - hard to get right
  - ▶ RAFT [github.io/RAFT](https://github.com/etcd-io/raft)
- ▶ What is the application store anyway?
  - ▶ Foxx becomes Consensus Foxx  
Microservice container for running consensus code

# Nomenclature

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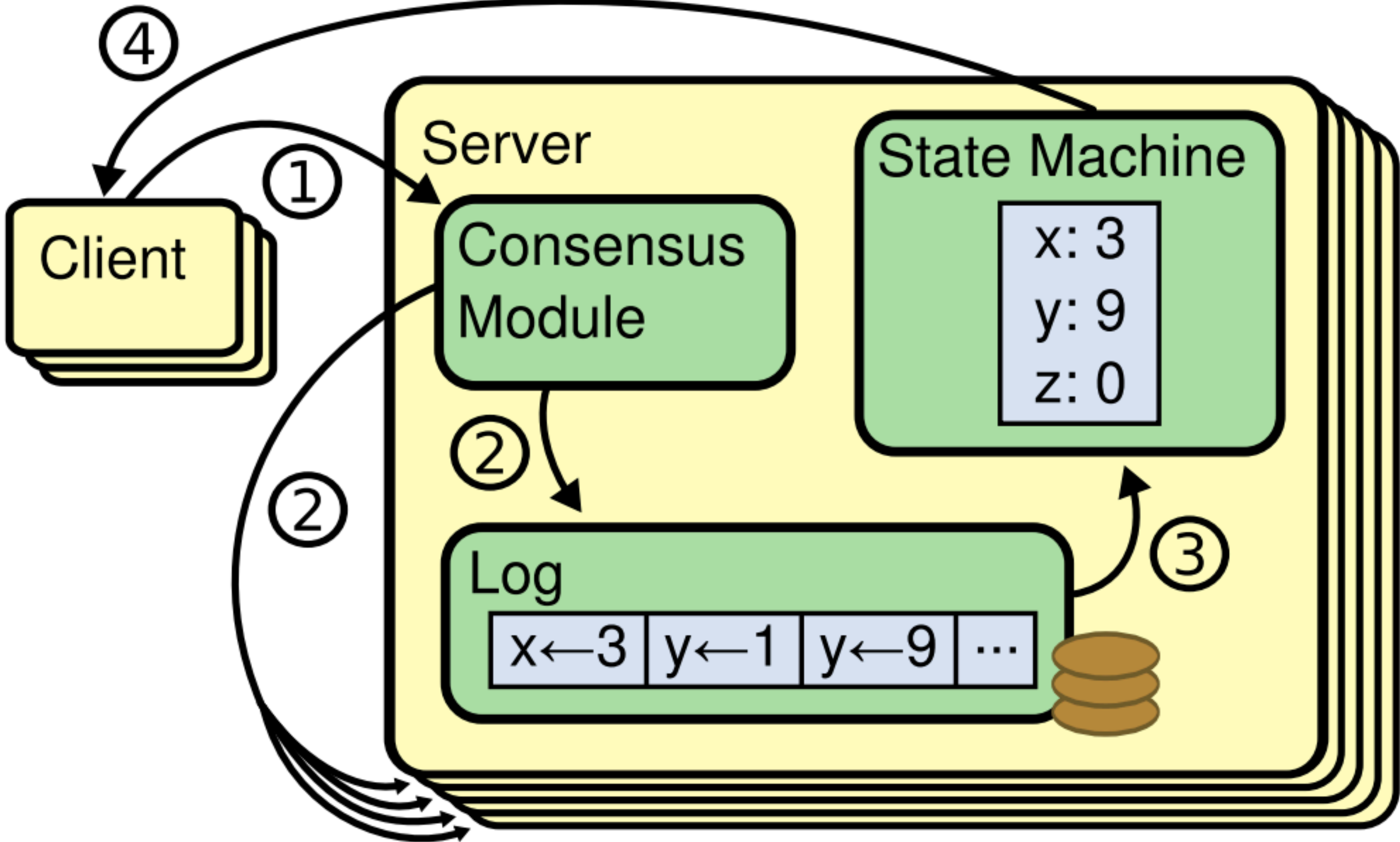
Some nomenclature up front

- ▶ Consensus
- ▶ Replicated log  
Ordered list of log entries  $l_i$   
`a:12 , a:12 , a++ , a++ , ...`
- ▶ State machine  
Application of  $l_i$   
`{a:12} , {a:12} , {a:13} , {a:14} , ...`

nihil novi nisi commune consensu  
nothing new unless by the common consensus  
– law of the polish-lithuanian common-wealth, 1505



# RAFT



# State machine

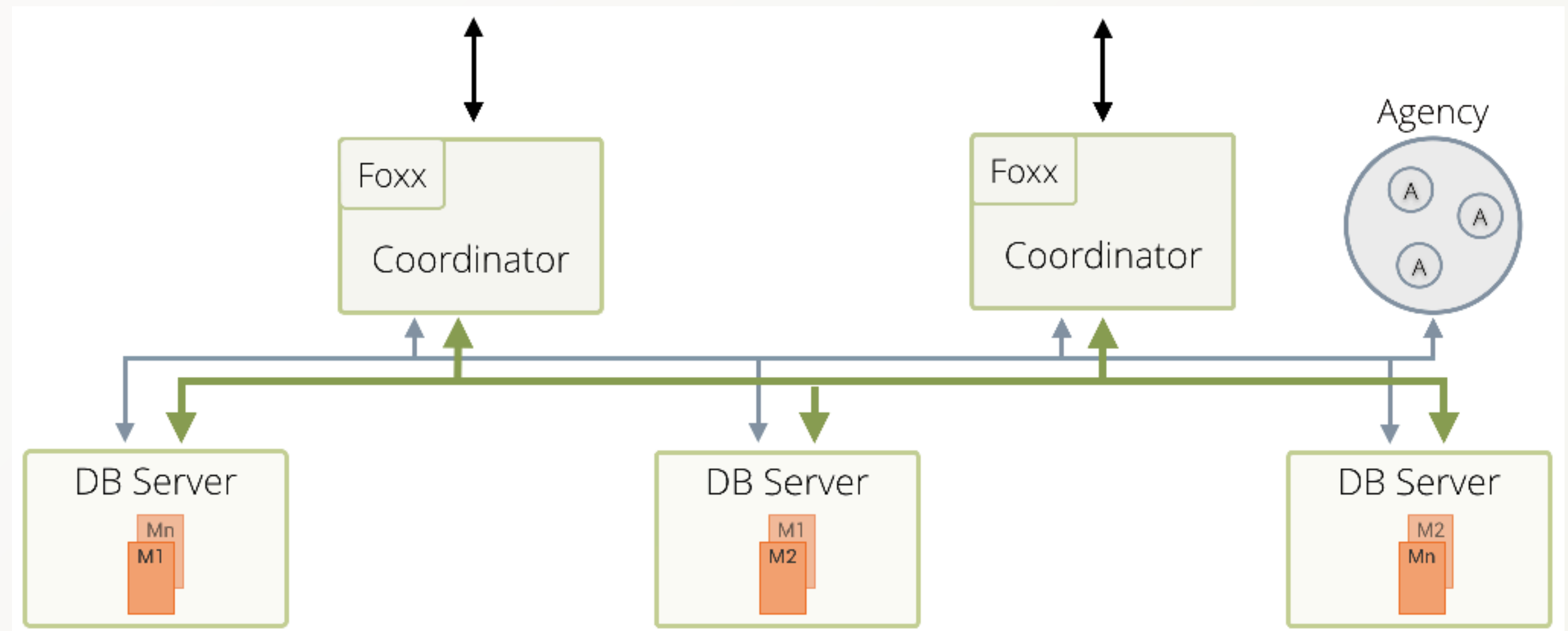
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- ▶ Internal state exposed through ...
- ▶ External interaction (public API)
- ▶ Problem:
  - ▶ Easy: local and unreliable
    - ▶ Configuration file
    - ▶ Local database
    - ▶ ...
  - ▶ Surprisingly hard: global and reliable
    - ▶ Replicated log
    - ▶ Block chain
    - ▶ ...
  - ▶ Handles non-Byzantine errors



# Consensus

- ▶ Distributed system
  - ▶ One time initialisation
  - ▶ Configuration management
- ▶ Fault tolerance - Resilience
  - ▶ Network partitions, split brain
  - ▶ Hardware failure
- ▶ Bottleneck!



# Paxos

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- ▶ Few people know Paxos anywhere near completeness. **And completeness is KEY!**
- ▶ Significant gaps between the description the needs of a **real world system**
- ▶ **Inefficient**: 2 rounds of messages to choose one value

# RAFT

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- ▶ While true

- ▶ Leadership election

- Randomised waits. Time limits on vote validity.

- ▶ Rebuild state machine

- Apply all compactions. Apply all logs.

- ▶ Serve requests

- Leader: Append new logs. **Spearhead/Read**.

- Wait for majority to commit. Respond to reads.

- Keep followers devout.

- Followers: Append new logs from leader only. Report to leader.

- Candidate for leadership, if gone too long without hearing from leader.

# RAFT

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- ▶ Formal proof
- ▶ 100s of implementations (mostly Rust, Go, some C++)
- ▶ Performance analysis
- ▶ User study of understandability



# RAFT

- ▶ Cheat sheet:
  - ▶ RequestVote
  - ▶ sendAppendEntries
  - ▶ Rules for all roles
  - ▶ Does not cover
    - ▶ Compaction
    - ▶ Resizing

State	
<b>Persistent state on all servers:</b> (Updated on stable storage before responding to RPCs)	
<b>currentTerm</b>	latest term server has seen (initialized to 0 on first boot, increases monotonically)
<b>votedFor</b>	candidateId that received vote in current term (or null if none)
<b>log[]</b>	log entries; each entry contains command for state machine, and term when entry was received by leader (first index is 1)
<b>Volatile state on all servers:</b>	
<b>commitIndex</b>	index of highest log entry known to be committed (initialized to 0, increases monotonically)
<b>lastApplied</b>	index of highest log entry applied to state machine (initialized to 0, increases monotonically)
<b>Volatile state on leaders:</b> (Reinitialized after election)	
<b>nextIndex[]</b>	for each server, index of the next log entry to send to that server (initialized to leader last log index + 1)
<b>matchIndex[]</b>	for each server, index of highest log entry known to be replicated on server (initialized to 0, increases monotonically)

AppendEntries RPC	
Invoked by leader to replicate log entries (§5.3); also used as heartbeat (§5.2).	
<b>Arguments:</b>	
<b>term</b>	leader's term
<b>leaderId</b>	so follower can redirect clients
<b>prevLogIndex</b>	index of log entry immediately preceding new ones
<b>prevLogTerm</b>	term of prevLogIndex entry
<b>entries[]</b>	log entries to store (empty for heartbeat; may send more than one for efficiency)
<b>leaderCommit</b>	leader's commitIndex
<b>Results:</b>	
<b>term</b>	currentTerm, for leader to update itself
<b>success</b>	true if follower contained entry matching prevLogIndex and prevLogTerm
<b>Receiver implementation:</b>	
1. Reply false if term < currentTerm (§5.1)	
2. Reply false if log doesn't contain an entry at prevLogIndex whose term matches prevLogTerm (§5.3)	
3. If an existing entry conflicts with a new one (same index but different terms), delete the existing entry and all that follow it (§5.3)	
4. Append any new entries not already in the log	
5. If leaderCommit > commitIndex, set commitIndex = min(leaderCommit, index of last new entry)	

RequestVote RPC	
Invoked by candidates to gather votes (§5.2).	
<b>Arguments:</b>	
<b>term</b>	candidate's term
<b>candidateId</b>	candidate requesting vote
<b>lastLogIndex</b>	index of candidate's last log entry (§5.4)
<b>lastLogTerm</b>	term of candidate's last log entry (§5.4)
<b>Results:</b>	
<b>term</b>	currentTerm, for candidate to update itself
<b>voteGranted</b>	true means candidate received vote
<b>Receiver implementation:</b>	
1. Reply false if term < currentTerm (§5.1)	
2. If votedFor is null or candidateId, and candidate's log is at least as up-to-date as receiver's log, grant vote (§5.2, §5.4)	

Rules for Servers	
<b>All Servers:</b>	
• If commitIndex > lastApplied: increment lastApplied, apply log[lastApplied] to state machine (§5.3)	
• If RPC request or response contains term T > currentTerm: set currentTerm = T, convert to follower (§5.1)	
<b>Followers (§5.2):</b>	
• Respond to RPCs from candidates and leaders	
• If election timeout elapses without receiving AppendEntries RPC from current leader or granting vote to candidate: convert to candidate	
<b>Candidates (§5.2):</b>	
• On conversion to candidate, start election:	
• Increment currentTerm	
• Vote for self	
• Reset election timer	
• Send RequestVote RPCs to all other servers	
• If votes received from majority of servers: become leader	
• If AppendEntries RPC received from new leader: convert to follower	
• If election timeout elapses: start new election	
<b>Leaders:</b>	
• Upon election: send initial empty AppendEntries RPCs (heartbeat) to each server; repeat during idle periods to prevent election timeouts (§5.2)	
• If command received from client: append entry to local log, respond after entry applied to state machine (§5.3)	
• If last log index ≥ nextIndex for a follower: send AppendEntries RPC with log entries starting at nextIndex	
• If successful: update nextIndex and matchIndex for follower (§5.3)	
• If AppendEntries fails because of log inconsistency: decrement nextIndex and retry (§5.3)	
• If there exists an N such that N > commitIndex, a majority of matchIndex[i] ≥ N, and log[N].term == currentTerm: set commitIndex = N (§5.3, §5.4).	



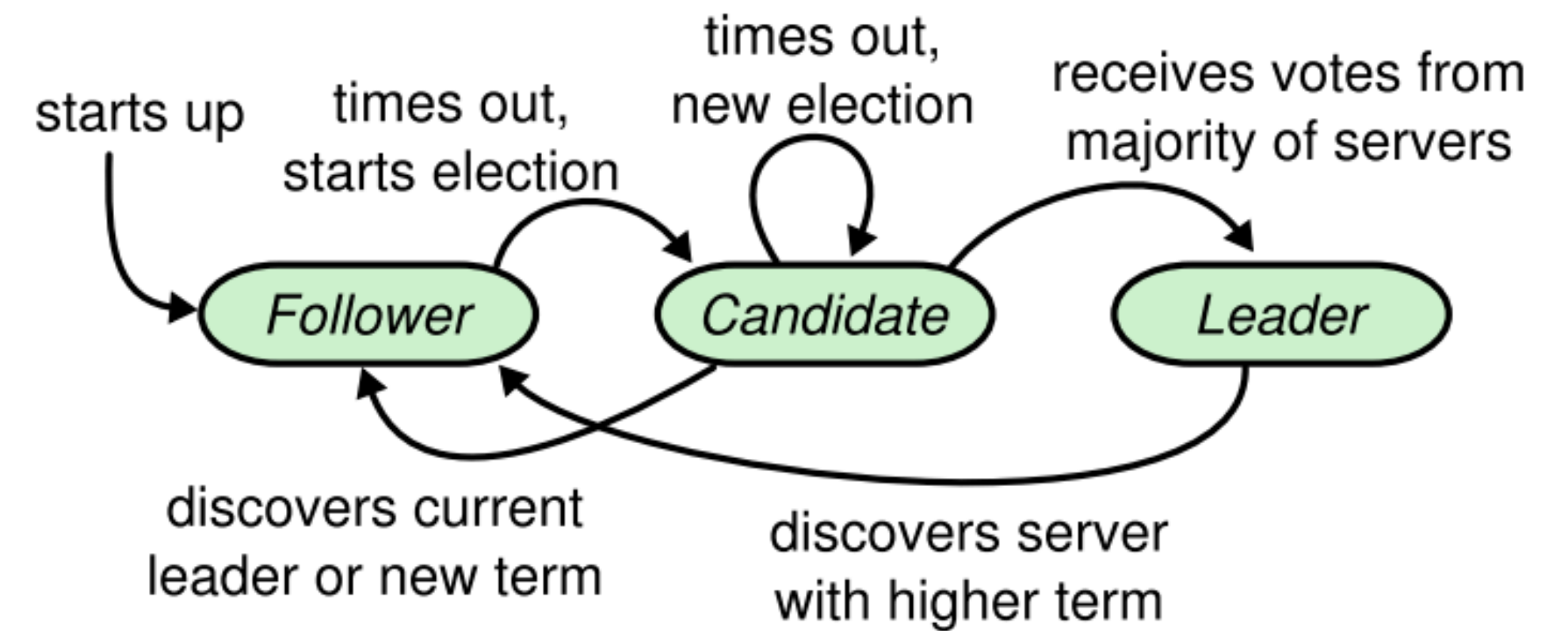
# RAFT

## ▶ Safety

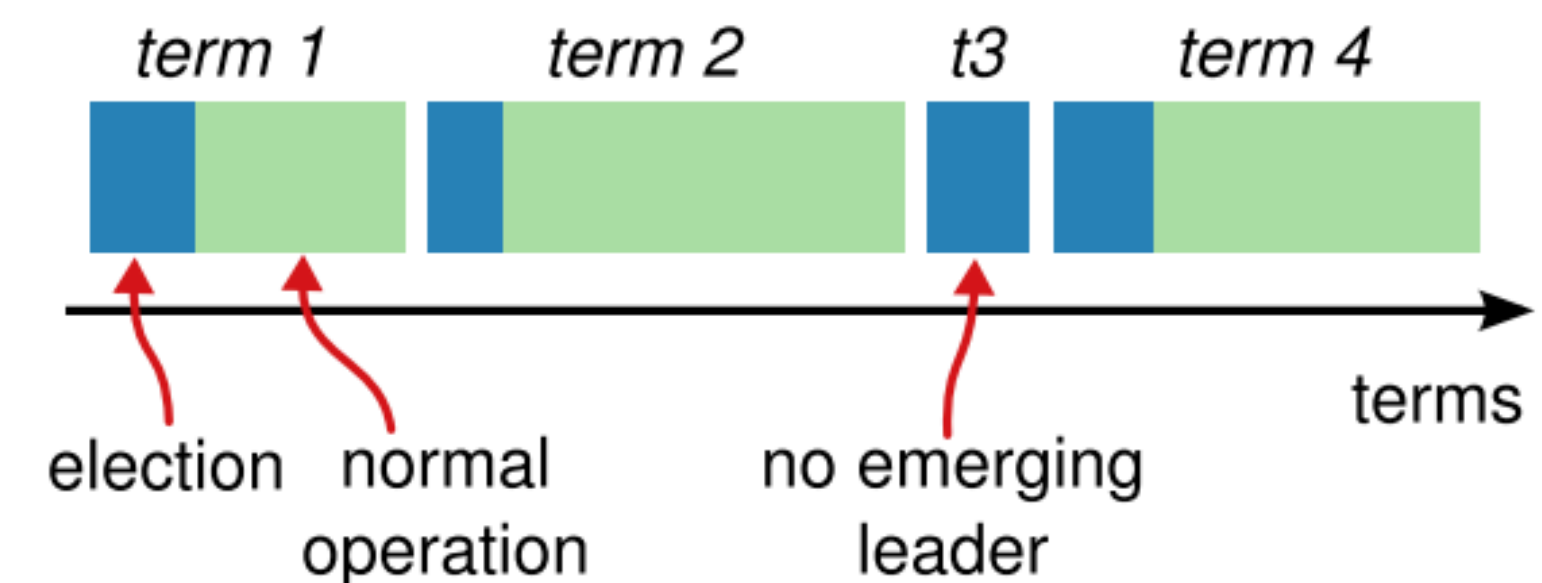
- ▶ Allow most **one winner per term**
- ▶ Each server gives only one vote per term (persist on disk)
- ▶ **Majority** required to win election

## ▶ Liveness

- ▶ Election timeout **random**
- ▶ One server usually times out before others. some candidate eventually wins
- ▶ Works well if  $T \gg$  round trip  
ArangoDB: .5s - 2.5s



**Figure 4: Server states.** Followers only respond to requests from other servers. If a follower receives no communication, it becomes a candidate and initiates an election. A candidate that receives votes from a majority of the full cluster becomes the new leader. Leaders typically operate until they fail.





**Election Safety:** at most one leader can be elected in a given term. §5.2

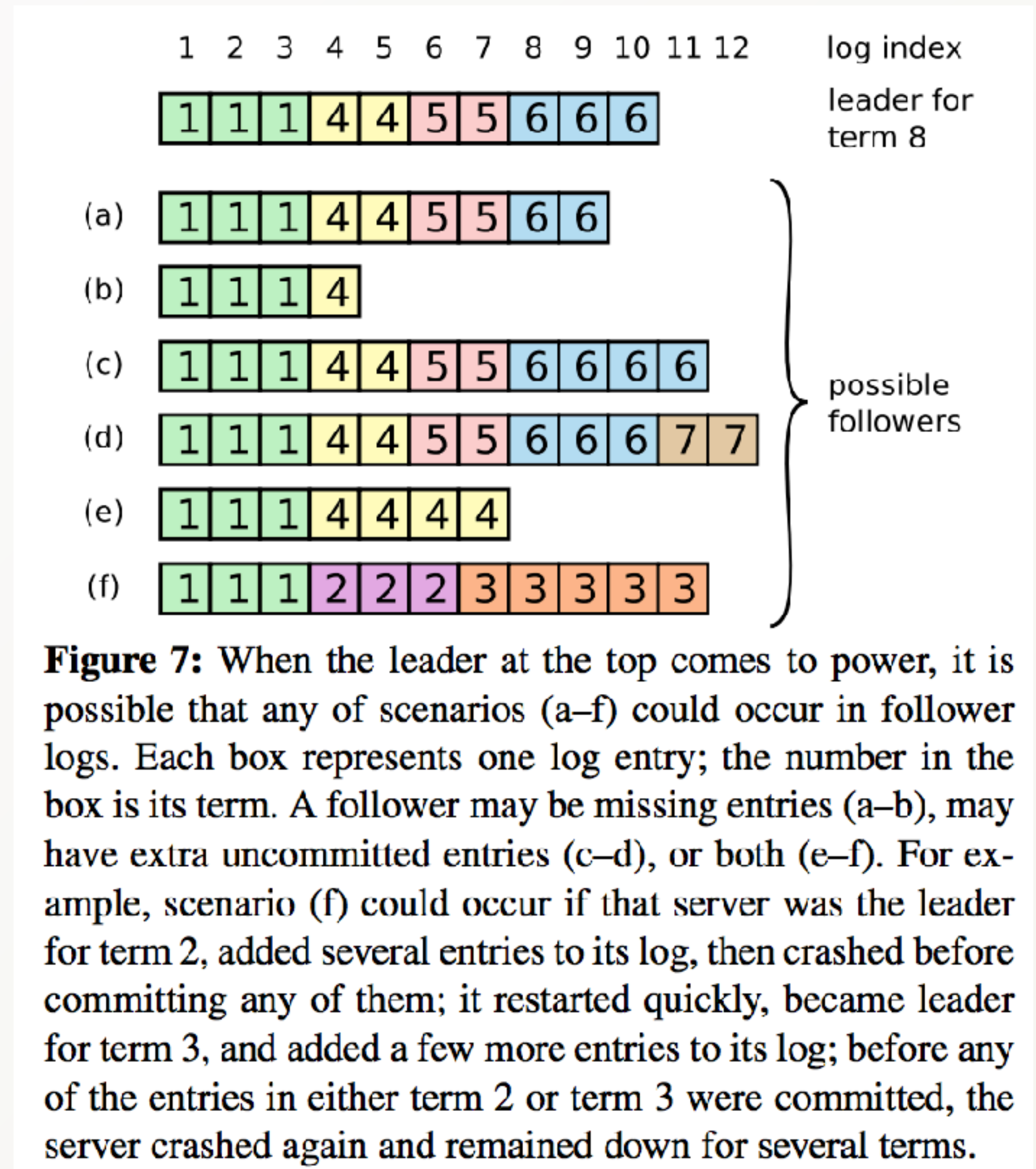
**Leader Append-Only:** a leader never overwrites or deletes entries in its log; it only appends new entries. §5.3

**Log Matching:** if two logs contain an entry with the same index and term, then the logs are identical in all entries up through the given index. §5.3

**Leader Completeness:** if a log entry is committed in a given term, then that entry will be present in the logs of the leaders for all higher-numbered terms. §5.4

**State Machine Safety:** if a server has applied a log entry at a given index to its state machine, no other server will ever apply a different log entry for the same index. §5.4.3

# RAFT





# ArangoDB agency

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- ▶ Let's read some code

[github.com/arangodb/arangodb/tree/3.3/arangod/Agency](https://github.com/arangodb/arangodb/tree/3.3/arangod/Agency)

- ▶ `arangodb::consensus`

- ▶ `Inception` - Gossip protocol for establishing the agency

- ▶ **Agent** - Main thread does all the RAFT skeleton work

- ▶ **Agent::sendAppendEntries**, **Agent::recvAppendEntries**

- ▶ `Constituent` - Election mechanism

- ▶ **State** - Replicated log, Compaction

- ▶ **Store** - State machine (Spearhead / Committed)

- ▶ `Supervision`, `Job`, ... Goodies :)

# ArangoDB agency

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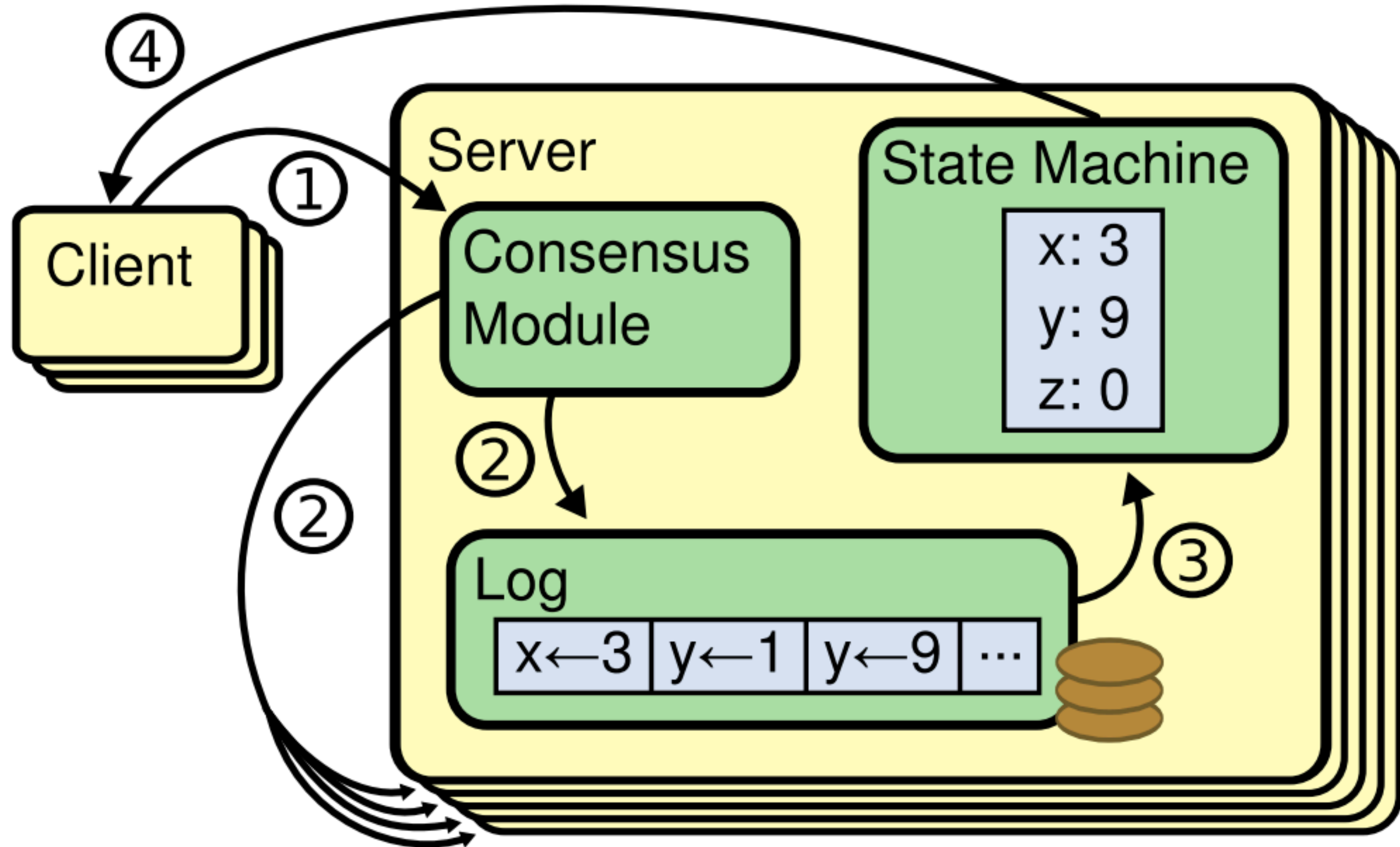
- ▶ Nice, so what ..?
  - ▶ Try to implement a realtime protocol on real computers
    - ▶ Gotta stick to the **rules 100%** or you are ...
  - ▶ What does time mean anyway?
    - ▶ `std::chrono::steady_clock` wherever durations calculated
    - ▶ `std::chrono::system_clock` wherever user input
  - ▶ HOW THE F\*\*K DO YOU **DEBUG** S\*\*T like this?
    - ▶ Deterministic debugging (gotta love **rr**)

# ArangoDB agency

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- ▶ We went all the way:
  - ▶ Compaction
  - ▶ Replicated program store

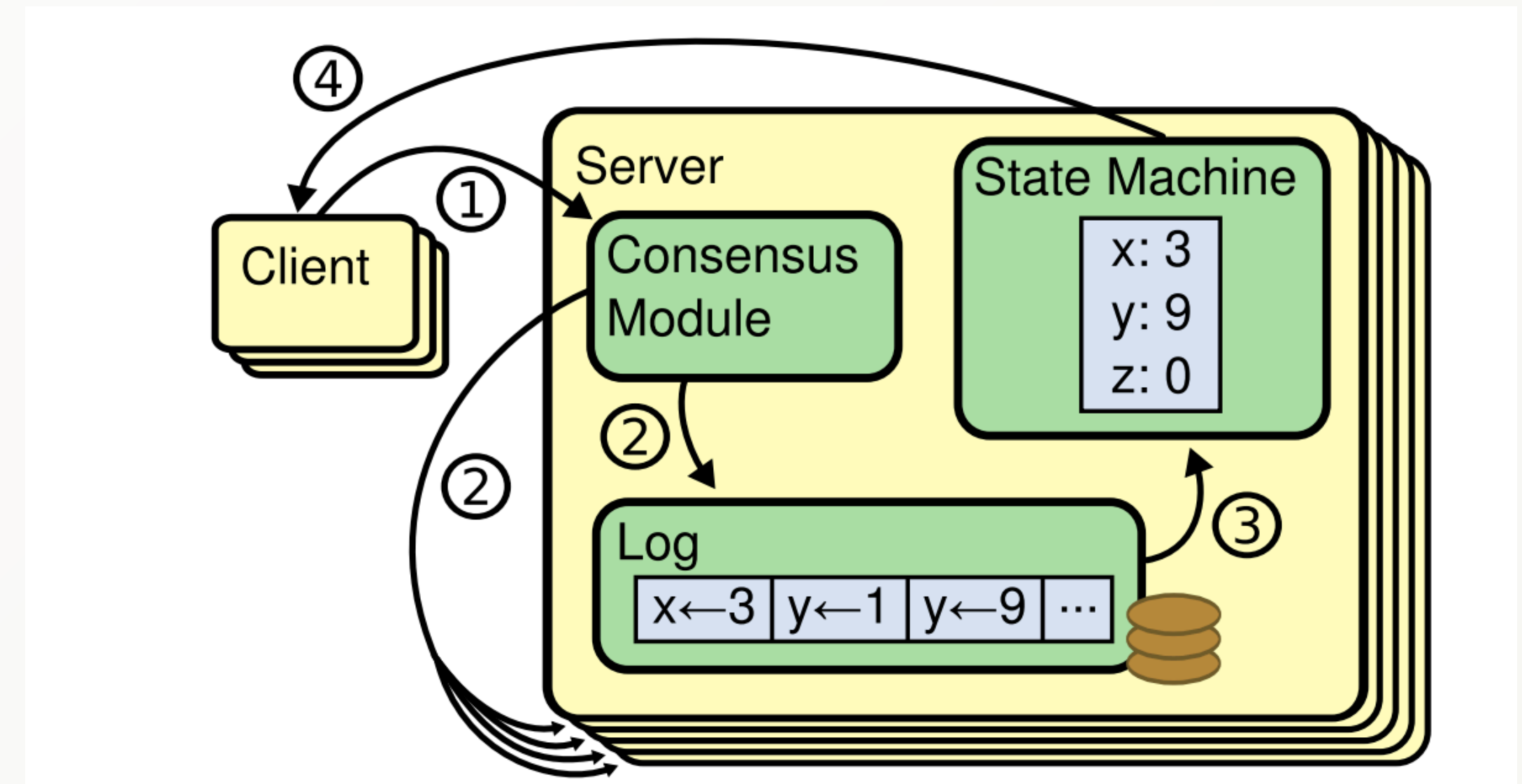
# Something missing





# Microservice container with RAFT

- ▶ Cluster supervision
  - ▶ Detecting server failures + evasive action
  - ▶ Cleaning out servers for maintenance / shutdown
  - ▶ Moving shards around the cluster
  - ▶ ...



**+ Microservice  
Container**

# Thanks for listening

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- ▶ Example Code in go  
<https://github.com/neunhoef/AgencyUsage>
- ▶ Slides will be uploaded to  
<https://www.arangodb.com/speakers/kaveh-vahedipour>
- ▶ Reach me  
Mail [kaveh@arangodb.com](mailto:kaveh@arangodb.com)  
Twitter [@kv4all](https://twitter.com/kv4all)